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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/671,314
	Filing Date	September 24, 2003
	First Named Inventor	Michael Sprauve et al.
	Art	2173
	Examiner	Not assigned yet
Total Number of Pages in This Submission	Attorney Docket Number	2102447-990101

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1.. Twelve (12) References
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<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Customer No. 26379	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Alan A. Limbach, Reg. No. 39,749 Gray Cary Ware & Freidenrich LLP
Signature	
Date	January 26, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


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Respectfully submitted,

Date: January 26, 2004

GRAY CARY WARE & FREIDENRICH LLP

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### INFORMATION DISCLOSURE STATEMENT

Applicants:	Michael Sprauve et al.
Application No.	10/671,314
Filed:	September 24, 2003
For:	Home Entertainment System And Method
Group Art Unit:	2173
Examiner:	Not assigned yet
Attorney Docket No.:	2102447-990101

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

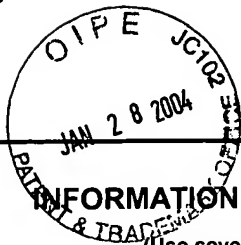
This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☒ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☐ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (d) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed



<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				Docket Number 2102447-990101		Application Number 10/671,314	
				Applicant      Michael Sprauve et al.			
				Filing Date    09/24/2003		Group Art Unit    2173	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,510,975	04/23/1996	Ziegler, Jr.				
	5,566,879	10/22/1996	Longtin				
	5,668,446	09/16/1997	Baker				
	5,962,989	10/05/1999	Baker				
	5,971,597	10/26/1999	Baldwin et al.				
	5,973,594	10/26/1999	Baldwin et al.				
	6,263,260	07/17/2001	Bodmer et al.				
	6,535,110	03/18/2003	Arora et al.				
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/44720	11/27/1997	PCT				
<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	Paul Davidsson, et al., "Multi-Agent System For Controlling Intelligent Buildings", Proceedings of the Fourth International Conference on Multi-Agent Systems, July 2000, pps. 377-378.						
	Paul Davidsson, "Intelligent Buildings: Energy Saving and Value Added Services", no date, pps. 107-121.						
	Magnus Boman et al., "Energy Saving and Added Customer Value In Intelligent Buildings", Proceedings of the Third International Conference on Practical Application of Intelligent Agents and Multi-Agent Technology, 1998, pps 505-517.						
EXAMINER				DATE CONSIDERED			